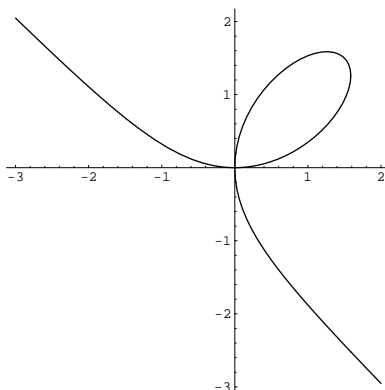


## Implicit Differentiation

The **Folium of Descartes** is given by the equation  $x^3 + y^3 = 3xy$ . Picture:



The graph consists of all points  $(x, y)$  which satisfy the equation. For example,  $(0, 0)$  is on the graph, because  $x = 0$ ,  $y = 0$ , satisfies the equation.

Observe, however, that the graph is not the graph of a function. Some values of  $x$  give rise to multiple values for  $y$ . (Geometrically, this means you can draw vertical lines which hit the graph more than once.)

Moreover, it would be difficult to solve the equation for  $y$  in terms of  $x$  (unless you happen to know the general cubic formula).

However, small pieces of the graph *do* look like function graphs. You only need to be careful not to take a piece which is so large that it violates the vertical line criterion. For such a piece, the equation defines a function  $y = f(x)$  **implicitly**. “Implicitly” means that  $y$  may not be solved for in terms of  $x$ , but a given  $x$  still “produces” a unique  $y$ .

On such a small piece of the graph, it would make sense to ask for the derivative  $y'$ . Since it's difficult to solve for  $y$ , it's not clear how to compute the derivative.

The idea is to differentiate the equation *as is*, making careful use of the Chain Rule. This produces another equation, from which you can get  $y'$  (perhaps implicitly as well).

Differentiate  $x^3 + y^3 = 3xy$  term-by-term with respect to  $x$ . First, the derivative of  $x^3$  with respect to  $x$  is  $3x^2$ :

$$3x^2 + \dots$$

The derivative of  $y^3$  *with respect to  $y$*  would be  $3y^2$ , but I'm differentiating with respect to  $x$ , so I use the Chain Rule. Differentiate the outer (cube) function, holding the inner function ( $y$ ) fixed. Then differentiate the inner function. I obtain

$$3x^2 + 3y^2y' = \dots$$

Finally, differentiate the right side  $3xy$ . The 3 is constant, but  $xy$  is a *product*: Use the Product Rule. Remember, however, that the derivative of the second factor ( $y$ ) is  $y'$ !

$$3x^2 + 3y^2y' = 3xy' + 3y.$$

I can solve this equation for  $\frac{dy}{dx}$ :

$$3x^2 + 3y^2y' = 3xy' + 3y$$

$$x^2 + y^2y' = xy' + y$$

$$y^2y' - xy' = y - x^2$$

$$(y^2 - x)y' = y - x^2$$

$$y' = \frac{y - x^2}{y^2 - x}$$

This may seem strange — I’ve found  $y'$  in terms of  $y$  — but I can use this expression for the derivative as I normally would.

For example, I’ll find the values of  $x$  where the graph has a horizontal tangent. As usual, set  $y' = 0$ . I get  $y - x^2 = 0$ , so  $y = x^2$ . Plug this back into the original equation (because I’m looking for points *on this curve*):

$$\begin{aligned}x^3 + y^3 &= 3xy \\x^3 + (x^2)^3 &= 3x(x^2) \\x^3 + x^6 &= 3x^3 \\x^6 - 2x^3 &= 0 \\x^3(x^3 - 2) &= 0\end{aligned}$$

Therefore,  $x = 0$  or  $x = \sqrt[3]{2}$ .

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**Example.** Find the equation of the tangent line to

$$x^2 + 5x = 10y^3 + 4y + 33 \quad \text{at the point } (4, 1).$$

Differentiate the equation implicitly:

$$2x + 5 = 30y^2y' + 4y'.$$

Since I have a point to plug in, I don’t solve this equation for  $y'$ . Instead, I plug the point in first, then solve for  $y'$ . Set  $x = 4$  and  $y = 1$ :

$$\begin{aligned}8 + 5 &= 30y' + 4y' \\13 &= 34y' \\ \frac{13}{34} &= y'\end{aligned}$$

The tangent line is

$$y - 1 = \frac{13}{34}(x - 4), \quad \text{or} \quad y = \frac{13}{34}x - \frac{9}{17}. \quad \square$$

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You can see that the rule of thumb is: To differentiate a  $y$ -expression, differentiate it “like usual”, but tack on a  $y'$ . The  $y'$  comes from the Chain Rule. Thus:

$$\begin{aligned}\frac{d}{dx}y^{10} &= 10y^9y' \\ \frac{d}{dx}\sin y &= (\cos y)y' \\ \frac{d}{dx}\ln y &= \frac{1}{y} \cdot y' = \frac{y'}{y} \\ \frac{d}{dx}e^y &= e^y y' \\ \frac{d}{dx}\sqrt{y} &= \frac{1}{2\sqrt{y}} \cdot y' = \frac{y'}{2\sqrt{y}}\end{aligned}$$

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**Example.** Find the equation of the tangent line to

$$x^3y^2 + 4y = 7x + y^2 + 1 \quad \text{at the point } (1, 2).$$

Differentiate implicitly:

$$3x^2y^2 + x^3(2yy') + 4y' = 7 + 2yy'.$$

Set  $x = 1$  and  $y = 2$  and solve for  $y'$ :

$$\begin{aligned} 12 + 4y' + 4y' &= 7 + 4y' \\ 4y' &= -5 \\ y' &= -\frac{5}{4} \end{aligned}$$

The tangent line is

$$y - 2 = -\frac{5}{4}(x - 1), \quad \text{or} \quad y = -\frac{5}{4}x + \frac{13}{4}. \quad \square$$

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**Example.** Find the equation of the tangent line to

$$\frac{y}{x} + 2x^2 + 5x = 3 - y^3 \quad \text{at the point } (-1, 2).$$

Differentiate implicitly:

$$\frac{xy' - y}{x^2} + 4x + 5 = -3y^2y'.$$

Set  $x = -1$  and  $y = 2$  and solve for  $y'$ :

$$\begin{aligned} \frac{-y' - 2}{1} - 4 + 5 &= -12y' \\ -y' - 1 &= -12y' \\ y' &= \frac{1}{11} \end{aligned}$$

Therefore, the tangent line is

$$y - 2 = \frac{1}{11}(x + 1), \quad \text{or} \quad y = \frac{1}{11}x + \frac{23}{11}. \quad \square$$

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**Example.** Find the equation of the tangent line to

$$(x + 2y)^2 + 2y^3 = x^3 + 20y - 8 \quad \text{at the point } (3, -1).$$

Differentiate implicitly:

$$2(x + 2y)(1 + 2y') + 6y^2y' = 3x^2 + 20y'.$$

Set  $x = 3$  and  $y = -1$  and solve for  $y'$ :

$$\begin{aligned} 2(1 + 2y') + 6y' &= 27 + 20y' \\ 2 + 10y' &= 27 + 20y' \\ -25 &= 10y' \\ y &= -\frac{5}{2} \end{aligned}$$

Therefore, the tangent line is

$$y + 1 = -\frac{5}{2}(x - 3), \quad \text{or} \quad y = -\frac{5}{2}x + \frac{13}{2}. \quad \square$$

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**Example.** Find the points on the following curve where the tangent line is horizontal:

$$y^2 - 2y = x^4 - 32x + 96.$$

Differentiate implicitly:

$$2yy' - 2y' = 4x^3 - 32.$$

I want the horizontal tangents, so set  $y' = 0$  and solve for  $x$ :

$$0 - 0 = 4x^3 - 32$$

$$4x^3 = 32$$

$$x^3 = 8$$

$$x = 2$$

To get the  $y$ -coordinates, plug  $x = 2$  into the original equation and solve for  $y$ :

$$y^2 - 2y = 16 - 64 + 96$$

$$y^2 - 2y = 48$$

$$y^2 - 2y - 48 = 0$$

$$(y - 8)(y + 6) = 0$$

This gives  $y = 8$  and  $y = -6$ .

The points are  $(2, 8)$  and  $(2, -6)$ .  $\square$

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**Example.** The **inverse tangent** function  $\tan^{-1} x$  satisfies

$$\tan(\tan^{-1} p) = p, \quad -\infty < p < \infty,$$

$$\tan^{-1}(\tan q) = q, \quad -\frac{\pi}{2} < q < \frac{\pi}{2}.$$

It is the **inverse function** to the tangent function: roughly, a function which “undoes” the effect of the tangent function.

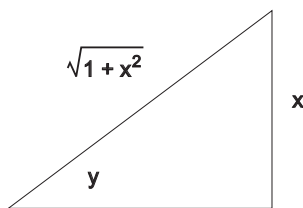
Use implicit differentiation to compute the derivative of  $y = \tan^{-1} x$ .

Start with  $y = \tan^{-1} x$  and take the tangent of both sides:  $\tan y = x$ . Now differentiate implicitly:

$$(\sec y)^2 y' = 1$$

$$y' = \frac{1}{(\sec y)^2} = (\cos y)^2$$

I want to express the right side in terms of  $x$ . Now  $\tan y = x$  means that I have the following triangle:



Therefore,  $\cos y = \frac{1}{\sqrt{1+x^2}}$ , and  $(\cos y)^2 = \frac{1}{1+x^2}$ . Hence,

$$\frac{d}{dx} \tan^{-1} x = \frac{1}{1+x^2}. \quad \square$$

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**Example.** Find  $y''$  at  $(1, 1)$  for

$$x + y^3 = x^3 + 7y - 6.$$

First, I'll differentiate implicitly and find  $y'$ . Then I'll differentiate implicitly a second time to find  $y''$ .

Differentiate implicitly:

$$1 + 3y^2 y' = 3x^2 + 7y' \tag{*}$$

Plug in  $x = 1$  and  $y = 1$  and solve for  $y'$ :

$$\begin{aligned} 1 + 3y' &= 3 + 7y' \\ -2 &= 4y' \\ -\frac{1}{2} &= y' \end{aligned}$$

Next, differentiate (\*) implicitly:

$$3y^2 y'' + 6y(y')^2 = 6x + 7y''.$$

Note that I used the Product Rule to differentiate the term  $3y^2 y'$ .

Now plug in  $x = 1$ ,  $y = 1$ , and  $y' = -\frac{1}{2}$  and solve for  $y''$ :

$$\begin{aligned} 3y'' + 6 \cdot \frac{1}{4} &= 6 + 7y'' \\ -\frac{9}{2} &= 4y'' \\ -\frac{9}{8} &= y'' \quad \square \end{aligned}$$

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