

## Exponentiation Ciphers and RSA Ciphers

**Exponentiation ciphers** are due to Pohlig and Hellman [2]. They are less vulnerable to frequency analysis than block ciphers. Here's the procedure.

1. Let  $p$  be a prime number, and let  $e$  be the **exponent**, such that  $(e, p - 1) = 1$ .
2. Encode the letters of the alphabet as

A	B	...	Z
00	01	...	25

3. Group the letters in the message in blocks of  $m$  letters, where  $m$  is chosen so that

$$\underbrace{2525 \cdots 25}_{m \text{ times}} < p < \underbrace{2525 \cdots 25}_{(m+1) \text{ times}}$$

For example, suppose  $p = 4283$ . Then you should use blocks of  $m = 2$  letters, because  $2525 < 4283 < 252525$ . And if  $p = 670417$ , you should use blocks of  $m = 3$  letters, because  $252525 < 670417 < 25252525$ . This stipulation merely ensures that the blocks are unique mod  $p$ .

4. Encode a block  $A$  using

$$C = A^e \pmod{p}.$$

The ciphertext  $C$  is an integer satisfying  $0 \leq C < p$  and this integer *is* the ciphertext: You don't convert it to letters.

**Example.** Encode the plaintext "DEEP YOGURT" using an exponential cipher with  $p = 2621$  and  $e = 11$ .

I have  $p - 1 = 2620 = 2^2 \cdot 5 \cdot 131$ . Note that  $(e, p - 1) = 1$ .

I use blocks of  $m = 2$  letters, because

$$2525 < 2621 < 252525.$$

Take the plaintext and convert it to numbers:

DE	EP	YO	GU	RT
0304	0415	2414	0620	1719

Now encode the message:

$$\begin{aligned} (0304)^{11} &= 0065 \pmod{2621} \\ (0415)^{11} &= 0415 \pmod{2621} \\ (2414)^{11} &= 1323 \pmod{2621} \\ (0620)^{11} &= 1567 \pmod{2621} \\ (1719)^{11} &= 0150 \pmod{2621} \end{aligned}$$

The ciphertext is

$$0065 \ 0415 \ 1323 \ 1567 \ 0150$$

How should you do these computations? The *best* way to do the computations is to use software which can do large-integer arithmetic. Most calculators can only accommodate 10–20 digit integers. If you try to compute  $2414^{11}$  on a calculator, you'll find that it's around  $1.62 \times 10^{37}$ . Because these computations require modular arithmetic, you can't use floating point — you are losing significant digits.

So how do you do something like  $2414^{11}$  if all you have is a calculator? First, rewrite it:

$$2414^{11} = (2414^2)^5 \cdot 2414.$$

Now I'll compute  $2414^2$  and reduce it mod 2621:

$$2414^2 = 5827396, \quad 5827396 = 913 \pmod{2621}.$$

(I got the last result by finding  $\frac{5827396}{2621} \approx 2223.34834$ . Subtract the integer part (2223) times 2621 from 5827396:  $5827396 - 2223 \cdot 2621 = 913$ .)

Therefore,

$$2414^{11} = (2414^2)^5 \cdot 2414 = 913^5 \cdot 2414 \pmod{2621}.$$

It should be clear how to proceed. Use the rules for exponents to reduce the product a little bit at a time, so that the intermediate results don't overflow your calculator.

Obviously, it is easier to use a computer!  $\square$

To decode a message that has been encoded using an exponentiation cipher, find  $d$  such that

$$de = 1 \pmod{p-1}.$$

This is possible (using the Euclidean algorithm), since  $(e, p-1) = 1$  by assumption. Equivalently, for some  $k$ ,

$$de = 1 + k(p-1).$$

Now suppose  $C = A^e \pmod{p}$ . Then

$$C^d = A^{de} = A^{1+k(p-1)} = A \cdot A^{k(p-1)} = A \cdot (A^{p-1})^k = A \cdot 1^k = A \pmod{p}.$$

Note that  $A$  is less than  $2525 \cdots 25$  ( $m$  25's) because  $A$  came from a block of  $m$  letters. Since  $2525 \cdots 25 < p$ , it follows that  $p \nmid A$ , and Fermat's theorem implies that  $A^{p-1} = 1 \pmod{p}$ .

In other words, raising  $C$  to the  $d$ -th power recovers the plaintext from the ciphertext.

**Example.** Decode the block  $C = 1407$  which was encoded using an exponential cipher with  $p = 2621$  and  $e = 11$ .

$(2620, 11) = 1$ ; apply the Extended Euclidean algorithm:

2620	-	1191
11	238	5
2	5	1
1	2	0

$$1 = (-5) \cdot 2620 + 1191 \cdot 11.$$

Hence,  $1191 \cdot 11 = 1 \pmod{2620}$ .

So to decode  $C = 1407$ , raise it to the 1191-th power:

$$(1407)^{1191} = 0712 \pmod{2621}.$$

0712 = HM, which is the plaintext for this block.  $\square$

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In a **public-key cryptosystem**, there are separate keys for encoding and decoding messages. One key is public, so that anyone can send a message to me. But I'm the only one who knows the private key, so I'm the only one who can read my messages. Moreover, I can use my private key to *send* messages, which can be decoded using the public key. Since I'm the only one who could have encoded such a message, people know the message must have come from me — a **digital signature**.

The key is to come up with a **one-way function**: roughly, something which is easy to compute, but whose inverse is difficult to compute.

The **RSA** public-key cryptosystem is due to Rivest, Shamir, and Adleman [3]. You'll see that it's essentially a modified exponentiation cipher.

The *idea* of creating an asymmetric public-key system is due to Whitfield Diffie and Martin Hellman [1]. But they didn't explain how to implement the necessary one-way function. Clifford Cocks, a mathematician at the British intelligence agency GCHQ, had described in an internal document in 1973 a cryptographic scheme equivalent to RSA. However, it did not come to light until 1997 due to its security classification.

Actually implementing an RSA system for real-world use is tricky — lots of things can go wrong! So you should regard what follows as a simplified description to get the main ideas across. (This is what's known as "textbook RSA".) Take a look at some *recent* books on cryptography to get an idea of the issues involved with implementation.

The article by Robinson [4] is recommended for an account of the creation of RSA, and a general overview.

1. Let  $p$  and  $q$  be *large* prime numbers. For practical applications, you'll need primes which are around 100 digits long. Let  $n = pq$ . ( $n$  is called the **key**.)

2. Find an exponent  $e$  such that  $(e, \phi(n)) = 1$ , and such that  $2^e > n$ .

If  $n$  were prime,  $\phi(n)$  would be  $n - 1$ , and I'd have the setup for an exponentiation cipher. The condition  $2^e > n$  guarantees that you can't recover the plaintext  $A$  by taking  $e$ -th roots. For if  $A$  is any block besides  $0 \cdots 00$  or  $0 \cdots 01$ , the result is  $> n$  when it's raised to the  $e$ -th power, so it changes when it's reduced mod  $n$ .

3. Encode the letters of the alphabet as

A	B	...	Z
00	01	...	25

4. Group the letters in the message in blocks of  $m$  letters, where  $m$  is chosen so that

$$\underbrace{2525 \cdots 25}_{m \text{ times}} < n < \underbrace{2525 \cdots 25}_{(m+1) \text{ times}}$$

5. Encode a block  $A$  using

$$C = A^e \pmod{n}.$$

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**Example.** Encode the message "CRAB LEGS" using an RSA cipher with  $n = 37 \cdot 71 = 2627$  and  $e = 13$ .

$$\phi(n) = \phi(37)\phi(71) = 36 \cdot 70 = 2520.$$

Note that  $e = 13$  is relatively prime to 2520, and  $2^e = 8192 > 2627$ .

Since  $2525 < 2627 < 252525$ , I use blocks of two letters.

Take the plaintext and convert it to numbers:

CR	AB	LE	GS
0217	0001	1104	0618

Now encode the message:

$$(0217)^{13} = 1652 \pmod{2627}$$

$$(0001)^{13} = 0001 \pmod{2627}$$

$$(1104)^{13} = 1400 \pmod{2627}$$

$$(0618)^{13} = 1839 \pmod{2627}$$

The ciphertext is

1652 0001 1400 1839 □

When this system is used,  $e$  and  $n$  are made public so people can encipher messages. The security of this method depends on the difficulty of finding  $\phi(n)$ , since (as I'll show below) this is what you need to decode a message.

On the one hand, if you know  $p$  and  $q$ , then

$$\phi(n) = \phi(p)\phi(q) = (p-1)(q-1).$$

Since  $p$  and  $q$  are known, so is  $\phi(n)$ .

On the other hand, suppose you know  $\phi(n)$ , you *don't* know  $p$  and  $q$ , but you *do* know that  $n$  is a product of two primes  $p$  and  $q$ . Then

$$\phi(n) = \phi(p)\phi(q) = (p-1)(q-1) = pq - p - q + 1 = n - p - q + 1.$$

Therefore,

$$p + q = n - \phi(n) + 1.$$

Moreover,

$$p - q = \sqrt{(p+q)^2 - 4pq} = \sqrt{(p+q)^2 - 4n}.$$

The last two equations show that if you know  $\phi(n)$  (and  $n$ ), then you can find  $p+q$ , and from that you can find  $p-q$ . But

$$p = \left( \frac{1}{2}(p+q) + \frac{1}{2}(p-q) \right) \quad \text{and} \quad q = \left( \frac{1}{2}(p+q) - \frac{1}{2}(p-q) \right).$$

Thus, you know  $p$  and  $q$ .

To summarize, knowing  $\phi(n)$  is equivalent to knowing  $p$  and  $q$ .

If  $p$  and  $q$  are 100-digit primes, then  $n = pq$  is around 200 digits. With present technology, it's hard to factor an arbitrary 200-digit number. It follows that finding  $\phi(n)$  — and hence, breaking the code — is difficult at the moment, which means the system is fairly secure.

Of course, *no* cipher is immune to human carelessness! If you let someone discover your key, the cipher is worthless.

**Example.** The product of two prime numbers  $p$  and  $q$  is  $n = 74483$ , and  $\phi(n) = 73920$ . Without factoring directly, find  $p$  and  $q$ . Show your work!

I have

$$\begin{aligned}73920 &= \phi(n) = (p-1)(q-1) \\73920 &= \phi(n) = pq - (p+q) + 1 \\73920 &= \phi(n) = n - (p+q) + 1 \\73920 &= \phi(n) = 74483 - (p+q) + 1 \\p+q &= 74483 - 73920 + 1 = 564\end{aligned}$$

Also

$$\begin{aligned}(p-q)^2 &= p^2 - 2pq + q^2 \\(p-q)^2 &= p^2 + 2pq + q^2 - 4pq \\(p-q)^2 &= (p+q)^2 - 4n \\p-q &= \sqrt{(p+q)^2 - 4n} \\p-q &= \sqrt{564^2 - 4 \cdot 74483} \\p-q &= 142\end{aligned}$$

From  $p+q = 564$  and  $p-q = 142$  I get  $p = 353$  and  $q = 211$ .  $\square$

Now here's how knowing  $\phi(n)$  allows you to decode a message. The idea is similar to that used in the exponentiation cipher.

Find  $d$  such that

$$de = 1 \pmod{\phi(n)}.$$

This is possible (using the Euclidean algorithm), since  $(e, \phi(n)) = 1$  by assumption. Equivalently,  $de = 1 + k\phi(n)$  for some  $k$ . Now suppose  $C = A^e \pmod{n}$ . Then

$$C^d = A^{de} = A^{1+k\phi(n)} = A \cdot A^{k\phi(n)} = A \cdot (A^{\phi(n)})^k = A \cdot 1^k = A \pmod{n}.$$

$A^{\phi(n)} = 1$  is a consequence of Euler's theorem, and will be true provided  $(A, n) = 1$ . Now  $n = pq$ , so it's possible for this to fail if the plaintext  $A$  has either  $p$  or  $q$  as a prime factor. However, if  $p$  and  $q$  are each around 100 digits long, the probability that this will happen is around  $10^{-99}$  — so it's nothing to worry about.

Just as in the exponentiation cipher, raising  $C$  to the  $d$ -th power recovers the plaintext from the ciphertext.

**Example.** Take  $n = 2627$  and  $e = 13$ . Show that 2114 is *not* an enciphered message by decoding it.

Recall that  $\phi(2627) = 2520$ . Apply the Extended Euclidean algorithm:

2520	-	1163
13	193	6
11	1	5
2	5	1
1	2	0

$$(6)(2520) + (-1163)(13) = 1, \quad \text{so} \quad (-1163)(13) = 1 \pmod{2520}, \quad \text{and} \quad 1357 \cdot 13 = 1 \pmod{2520}.$$

Then

$$(2114)^{1357} = 1980 \pmod{2627}.$$

However, 80 can't be a block in a message, because it's greater than 25. Therefore, 2114 is not a ciphertext for this key.  $\square$

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- [1] W. Diffie and M. Hellman, New directions in cryptography, *IEEE Trans. Inf. Theory*, 22(1976), 644–654.
- [2] S. Pohlig and M. Hellman, An improved algorithm for computing logarithms over  $GF(p)$  and its cryptographic significance, *IEEE Trans. Inf. Theory*, 24(1978), 106–110.
- [3] Rivest, R.; Shamir, A.; Adleman, L., A method for obtaining digital signatures and public-key cryptosystems, *Communications of the ACM*, 21(2)(1978), 120–126.
- [4] S. Robinson, Still guarding secrets after years of attacks, RSA earns accolades for its founders, *SIAM News*, 36(5)(2003).